K25FY3310 Pages: 2 Reg No:................
Name:................

Third Semester FYUGP Degree Examination NOVEMBER 2025

KU3DSCBBA204 - FUNDAMENTALS OF STOCK TRADING

2024 Admission onwards

Time : 2 hours Maximum Marks : 70

Section A

Answer any 6 questions. Each carry 3 marks.

1. What is SEBI? List any two functions of SEBI.
2. What is the main objectives of SEBI?
3. Define mutual fund.
4. When can investors purchase units of a close-ended fund?
5. What are "front-end load" and "back-end load" fees?
6. Define liquidity in investment.
7. What is risk in investment?
8. Who is a conservative investor?

Section B

Answer any 4 questions. Each carry 6 marks.

9. What are the primary goals of investing in mutual funds?
10. In what way are mutual funds affordable, particularly through an SIP?
11. What is the specific risk associated with International Funds?
12. What are the different types of return expected by an investor?
13. Write a short note on financial and non-financial investment avenues.
14. Explain the process of making an investment decision.

Section C

Answer any 2 questions. Each carry 14 marks.

15. Explain the trading and settlement procedure at BSE in detail.

16. Explain in detail the various types of orders used in stock market trading with examples.

17. Analyze the critical role played by SEBI in investor protection, market regulation, and ensuring disclosure standards.